PTO/SB/21 (08-03)

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Date

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/692,261 Filing Date 10/23/2003 TRANSMITTAL First Named Inventor Jon Cargille **FORM** Group Art Unit 2131 d for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 75 MS1.1781US Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences Amendment / Reply Petition to Convert to a Appeal Communication to Group After Final Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Change of Correspondence Extension of Time Request Status Letter Address Express Abandonment Request Terminal Disclaimer Other Enclosure(s) (please Information Disclosure Statement identify below): Request for Refund PTO Form 1449, 3 references Certified Copy of Priority CD, Number of CD(s) Return Receipt Postcard Documents Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Daniel L. Hayes/Reg. No. 34618 Individual Name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Typed or printed name

Signature

Rachel Murphy

NOV 2 1 2005

IN THE UNITED STATES FAME AND TRADEMARK OFFICE

Serial No.	
Filing Date	
Confirmation No	1559
InventorshipApplicant	Jon Cargille
Applicant	
Group Art Unit	2131
Examiner	
Attorney's Docket No	MS1-1781US
Title:	Secure Kernel Transactions

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 11/15/05

Daniel L. Hayes Reg. No. 34618

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Sheet

	Complete if Ki	nown	•
Application Number	10/692,261	 	-
Filing Date	10/23/2003		
First Named Inventor	Jon Cargille		
Art Unit	2131		
Examiner Name			
Attorney Docket Number	MS1	1781US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Cheung, S et al. "Java Transaction API(JTA)", Sun Microsystems Inc, April 1999, pp 1-60	
		Limprecht, R "Microsoft Transaction Server," IEEE, 1997 pp 14-18	
		Weikum, G. "Pros and Cons for Operating System Transactions for Database Systems", IEEE 1986, pp. 1219-1225	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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